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## Human immunity in vitro — Solving immunogenicity and more $\stackrel{ m triangle}{\sim}$

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### ABSTRACT

s been widely recognised that the phylogenetic distance between laboratory animals and humans limits the romer's predictive value for immunogenicity testing of biopharmaceuticals and nanostructure-based drug delivery and adjuvant systems. 2D in vitro assays have been established in conventional culture plates with little success so far. Here, we detail the status of various 3D approaches to emulate innate immunity in non-lymphoid 20 organs and adaptive immune response in human professional lymphoid immune organs in vitro. We stress the 21 tight relationship between the necessarily changing architecture of professional lymphoid organs at rest and 22 when activated by pathogens, and match it with the immunity identified in vitro. Recommendations for further 23 improvements of lymphoid tissue architecture relevant to the development of a sustainable adaptive immune response in vitro are summarized. In the end, we sketch a forecast of translational innovations in the field to model systemic innate and adaptive immunity in vitro. 26

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Abbreviations: ADDC, antibody-dependent cell-mediated cytotoxicity; ALN, artificial lymph node; AOP, adverse outcome pathway; APC, antigen-presenting cell; CMV, cytomegalovirus; DC, dendritic cell; DNCB, dinitrochlorobenzene; ECM, extracellular matrix; ELISPOT, enzyme-linked immune spot; FDC, follicular dendritic cell; FRC, fibroblastic reticular cell; GALT, gut-associated lymphoid tissues; GC, gerninal centre; HEV, high endothelial venules; HLA, human leucocyte antigen; HuALN, human artificial lymph node; HUVEC, human umbilical vein endothelial cell; Ig, immunoglobulin; IFN, interferon; LN, lymph node; MEMS, micro-electro mechanical system; MHC, major histocompatibility complex; MOA, mode of action; MOC, multi-organ-chip; MRC, marginal reticular cell; NK, natural killer; PBMC, peripheral blood mononuclear cell; PLGA, poly(lactic-co-glycolic acid); QIVIVE, quantitative in vitro – in vivo extrapolation.

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#### 64 1. Introduction

65 The recent rapid introduction of biopharmaceuticals, nanostructurebased drug delivery and adjuvant systems [1-4], stem, somatic and im-66 mune cell therapies [5–7], and modern wound-healing materials [8] 67 into the pharmaceutical landscape has raised the immunogenicity 68 issue to a historical high [9-14]. Those, in comparison to conventional 69 pharmaceutical agents, contain biological and synthetic entities of a  $\overline{70}$ large size and structure open to recognition by the human immune sys-71 tem. AOPs<sup>1</sup> of undesired immunity observed to date were complement 72activation [15–19], tissue inflammation, leucocyte hypersensitivity [20], 73 74 and formation of neutralising antibodies associated with their respec-75tive clinical disorders [21–24]. It has been widely recognised that the 76 phylogenetic distance between laboratory animals and humans limit 77 the former's predictive value for substance testing [25]. This applies particularly to the evaluation of human immunogenicity due to pro-78 79nounced species-specific differences in antigen recognition, in immune reactivity of non-lymphoid and lymphoid tissues, and in the systemic 80 orchestration of immunity at organismal level. As a second option to 81 evaluate immunogenicity prior to tests in humans, a broad spectrum of 82 2D in vitro assays has been established in conventional culture plates 83 84 based on suspension or matrix-assisted human immune cell cultures to 85mimic various discrete aspects of human immunity in the past, however, 86 with little success so far.

A prime example of the failure of both laboratory animal tests and 87 human 2D immune cell assays to predict immunological risks of a bio-88 89 pharmaceutical product prior to use in six healthy volunteers was the TGN1214 disaster in 2006. The TGN1214 super-agonist antibody was 90 developed to direct the immune system to treat chronic inflammatory 91 92diseases and cancer. It triggered a systemic inflammation syndrome 93 called cytokine storm and multiple organ failure in the six volunteers in phase I clinical testing [26-28]. Non-human primates used in preclin-94 ical assessment were not able to predict the human outcome. This dem-95 onstrates the shortcomings of experimental animal models, e.g. rodents 96 or primates, independent of their phylogenetic distance to humans. The 97 98 same case also serves as the most instructive example for the relationship between the localization and degree of a single specific initiating 99 immunological event and its devastating recipient-specific conse-100 quences. The MoA<sup>2</sup> on individual organs and adverse systemic outcome 101 among the volunteers differed significantly. This highlights the urgent 102103 need for preclinical human immunity test solutions which emulate organ-specific tissue architecture at immune response sites and their 104 crosstalk more closely. Intriguing recent trends to engineer human 105106 non-lymphoid organ functionality at a more organotypic 3D level in vitro have already significantly improved the relevance of human 107liver, skin and respiratory tract models for toxicology read-outs in the 108past decade. However, components of innate human immunity have 109 rarely been included in such models [29-31]. Tissue engineering of pro-110 fessional lymphoid organs to emulate adaptive human immunity 111 112 in vitro has been widely ignored so far. The crucial impact of new organotypic 3D test models for human immunity might far exceed 113 adverse immunogenicity responses. It might also strongly increase the 114 contribution to the development of effective designer vaccines, their 115 corresponding delivery systems and novel vaccine adjuvants. These developments are significantly hampered by the restricted knowledge 117 about the most effective human immune response mechanism against 118 a particular pathogen [32] and efficient administration routes, e.g. mucosal [33] or nasal vaccination [34], due to the limited set of preclinical 120 potency assays for vaccines [35–37].

This review provides an up-to-date sketch on the accentuation of the 122 immunogenicity testing dilemma, providing insights into the latest 123 understanding of the relationship between tissue architecture, local mi- 124 croenvironment and essential immune response outcomes in innate 125 and adaptive human immunity. It also touches on the existing 2D and 126 matrix-assisted immune cell assays regarding their equivalence to this 127 complex biology. It details the status of various 3D approaches to emulate 128 innate immunity in non-lymphoid organs exposed to external pathogens, 129 e.g. gut, lung and skin, and immune response in human professional lym- 130 phoid immune organs, e.g. LNs and bone-marrow in vitro. We stress the 131 tight relationship between the necessarily changing architecture of pro-132 fessional lymphoid organs at rest and when activated by pathogens. Final-133 ly, we sketch a forecast of translational innovations in the field addressing 134 the following three important questions: To what degree can future 135 human in vitro systems emulate the entire human immune response 136 from the initial administration of a potential antigen down to a mature 137 antigen-specific Ig<sup>3</sup>G antibody response? Are these systems practical, 138 and do they solve the immunogenicity testing dilemma? 139

# 2. The human immune system — informed crosstalk determines efficiency

The human immune system is designed to protect our body from 142 foreign pathogens, such as bacteria, viruses, parasites, and harmful macromolecules and to eliminate abnormal internally created structures, 144 such as cancer- or virus-infected cells. Therefore, a plethora of immune cells have been selected by evolution to fulfil various specific functions 146 (Table 1). 147

Neutrophils, NK<sup>4</sup> lymphocytes, eosinophils and monocytes of the 148 blood and tissue-specific mast cells, DCs, macrophages, lymphoid tissue 149 inducer cells, type 2 innate lymphoid cells,  $\gamma/\delta T$  lymphocytes, lymphoid 150 stromal cells, and antigen-presenting endothelial cells represent the 151 backbone of human innate immunity.  $\alpha/\beta T$  lymphocytes (helper, 152 cytotoxic, regulator), B lymphocytes, and plasma cells enable adaptive 153 immunity leading to antigen-specific cytotoxic or antibody response 154 or target-specific tolerance. 155

### 2.1. Innate immunity

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Proteins of the complement cascade in blood and the various innate 157 immune cell populations in blood and organs constitute a first line 158

<sup>156</sup> 

<sup>&</sup>lt;sup>1</sup> adverse outcome pathways

<sup>&</sup>lt;sup>2</sup> mode of action

<sup>&</sup>lt;sup>4</sup> natural killer

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#### 1.1 Table 1

Abbreviation/name	Function	Location	References	
Innate immune cells				
Eosinophils	Release of inflammatory substances upon activation	Blood	[38]	
Mast cells	Release of inflammatory substances upon activation	Resident in peripheral tissues	[39]	
Neutrophils	Bacteria and fungi phagocytosis and killing	All tissues upon inflammation	[40]	
DCs	Phagocytosis, antigen presentation and migration	Resident in lymphoid and non-lymphoid organs or migratory (recruited from blood monocytes during tissue inflammation)	[41–47]	
Macrophages	Phagocytosis and antigen presentation	Resident in most tissues	[41]	
NK lymphocytes	Elimination of tumour- and virus-infected cells	All tissues upon activation	[48,49]	
Lymphoid tissue inducer cells	Generating organised lymphoid tissues	Gut other tissues	[50-52]	
Type 2 innate lymphoid cells			[53,54]	
$\gamma/\delta$ T cells	Pathogen elimination in epithelial barriers (viruses, bacteria)	Resident in mucosa and skin epithelial barriers	[55–57]	
Adaptive immune cells				
$\alpha/\beta$ T lymphocytes	T helper cytotoxic and regulatory functions within adaptive immunity forming antigen-specific T cells or instruction B lymphocytes to develop antigen-specific antibody responses, T memory	Professional lymphoid and peripheral organs	[58]	
B lymphocytes	Antibody maturation and class switch memory	Professional lymphoid and peripheral organs	[58]	
Plasma cells	Antibody secretion	Professional lymphoid organs	[58]	
x 11 H				
Immunity supporting cells			150 001	
Lymphoid stromal cells (FRCs, MRCs, FDCs)	Architectural scaffolding of professional lymphoid organs, nest formation for recirculation lymphocytes, filtrating antigens and APCs, activation and deletion of cytotoxic T lymphocytes for peripheral tissue-restricted antigens	LNs and other secondary lymphoid organs	[59–68]	
Lymphatic endothelial cells	Promote T cell egress from LNs	Afferent and efferent lymphatics	[60]	
Blood endothelial cells	Promote T cell recruitment	HEVs	[60]	

defence and are responsible for the immediate recognition and elimina-159tion of pathogens or abnormal structures. Immune cells of the innate 160 immune system have evolved in a highly specialized way to cover the 161 broad variety of pathogens they have to fight. Eosinophils in blood 162163 and mast cells, their resident counterparts in tissues, together with other resident somatic tissue cells provide first signalling about 164 invaders to the other immune cells by immediate histamine and che-165 mokine release. The resulting local inflammation attracts neutrophils, 166 167 specialized in killing bacteria, fungi and other pathogens, and NK cells, 168 specialized in the elimination of tumour- and virus-infected cells, from blood into the invasion zone. Neutrophils are the largest popula-169 tion of innate immune cells with a daily turnover of  $1.5 \times 10^{11}$  cells 170 and a lifespan of five days [69]. They carry the main first line defence 171 172burden at the interface of the human body with the outside world, employing three strategies to eliminate invaders: pathogen uptake, 173secretion of antimicrobials, and release of neutrophil extracellular 174 traps [70]. In addition, inflammation activates the resident pools of 175176 cells of the innate immunity: macrophages and DCs phagocytizing any pathogens left after neutrophil, NK and  $\gamma/\delta T$  cell attack, and presenting 177 178antigenic epitopes in MHC<sup>5</sup> II molecules of their cell surface for further 179recognition by the adaptive immunity. This orchestrated crosstalk among and between cells of the innate immunity needs a pre-180 established tissue-specific dynamic 3D network architecture of resident 181 182 macrophages and DCs in crosstalk with other resident immune cells in the tissues. This ensures the right cell density of sentinel cell distribu-183tion and in-depth structure to cope with any invasion. Mechanisms to 184 maintain these networks are still poorly understood but intimate 185 stromal cell contact and crosstalk with the pericyte/endothelial cell net-186 work seemed to be mandatory and, therefore, should play an important 187 role in respective models emulating innate immunity in vitro. Innate 188 189 immunity is most prominently presented in barrier organs between the body and the outside world, such as gut, lung and skin, because 190191 these organs present the first invasion site in case of injury. These or-192gans additionally manage to provide a continuous immune privilege to microorganisms leading to a lifelong coexistence of epithelial barrier 193 tissues with their respective physiologically important microbiomes, 194 [71] and [72] for gut; [73] for lung; [74] for skin. Differences in the 195

pathogen-exposed surface (~450 m<sup>2</sup> of gut, ~150 m<sup>2</sup> of lung and ~1.8 196 m<sup>2</sup> of skin), in the nature and load of the respective microbiome (the 197 largest represented in the gut, followed by the skin microbiome and a 198 relatively small microbiome in the lung), in the physiological epithelial 199 barrier turnover (1–3 days for epithelial barrier of the small intestine, 200 8 days for lung epithelium and 30 days for skin epidermis), in exposure 201 to mechanical stress and temperature, in wounding frequencies 202 (highest for skin), and in the microenvironment have evolutionarily 203 led to an organ-specific composition and architectural arrangement of 204 the innate immune response networks in these organs. If the first line 205 of defence fails to eliminate invaders, innate immunity of lung and 206 skin crosstalk with the associated LNs induces a proper antigen-207 specific adaptive immune response, which is then carried out by LNs 208 or the spleen in cellular crosstalk with the bone-marrow. 209

The gut, as well as LNs, has resident lymphoid tissues to initiate an 210 adaptive immune response at an invasion site. Mucosal epithelia cover 211 an area of the human body more than 200 times that of the skin, with 212 the gut mucosa being by far the largest part lifelong, hosting a 213 microbiome the size of  $10^{12}$  bacteria per g (dry weight) of colonic con- 214 tents [75]. The epithelial cell turnover in the small intestine, the most 215 exposed to external physiological and pathological microorganisms, is 216 1–2 days (large intestine – 10 days and jejunum – 16 years). Immune 217 cell composition, architecture, and functional crosstalk between the in- 218 nate and adaptive mucosa-associated immunity have been reviewed 219 recently [76,77]. In brief, mucosal tissue contains special immunocom- 220 petent inductive sites where antigens, passing specialized epithelial 221 M-cells, directly enter into mucosa-associated lymphoid tissues, 222 which resemble the fine-tuned 3D architecture of essential LN struc- 223 tures described later in detail, with variable T-cell zones intervening 224 between the B cell follicles containing a variety of APCs, such as DCs<sup>6</sup> 225 and macrophages. This immunity in the gut is called  $GALT^7$  and com- 226 prises Peyer's patches and isolated lymphoid follicles for the efficient 227 development of an adaptive immune response primarily resulting in 228 the local secretion of antigen-specific secretory antibodies at effector 229 sites of the gut mucosa. Mucosal immunity plays a crucial role for new- 230 borns [78]. 231

<sup>6</sup> dendritic cells

<sup>7</sup> gut-associated lymphoid tissues

<sup>&</sup>lt;sup>5</sup> major histocompatibility complex

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**Fig. 1.** Lymphocyte distribution and movement in a healthy young adult human at immunological rest A total of approximately 0.5 trillion lymphocytes of the adaptive immunity continuously guard all human tissues supporting a lifelong immunological acceptance of the physiological microbiome of the outside-world contact organs, such as skin, digestive and respiratory tract, highly specifically defending from invading pathogens, whilst simultaneously ensuring the elimination of altered self-structures, such as infected and tumor cells, in a healthy young adult. Approximate distribution of lymphocytes in different body tissues is depicted in the boxes. Adaptive antigen-specific immunity develops and sustains primarily in lymph nodes, spleen (encircled in blue) and mucosa-associated lymphoid tissues in the gut. The  $10 \times 10^9$  lymphocytes circulated each minute within a volume of 5 L represent only 2% of the total lymphocytes and serve as a surprisingly consistent lymphocyte distribution pool for antigen-exposed organs. Bone-marrow and thymus generate naïve T and B lymphocytes, while liver spleen and thymus are lymphocyte reader is referred to the web version of this article.)

232 The human lung consists of airways for air transport and alveoli for 233gas exchange. Ciliated epithelium in the airways constantly conveys 234pathogens out of the airways [79-81]. The innate immunity here is composed of a resident 3D transepithelial network of alveolar macrophages -235professional phagocytes in the lung - in the epithelial layer and DCs -236 professional APCs<sup>8</sup> - inside and underneath the epithelium with a 237 steady-state density of 500-750 cells/mm<sup>2</sup> [82,83]. The macrophages 238 share their progenitors with DC cells and possess the ability to switch 239from one functional phenotype to another depending on environmental 240signals. Neutrophils transiently transmigrate into lung tissue at activa-241 tion by microbial or fungal infection and eliminate the pathogens by 242243phagocytosis and associated respiratory burst mechanisms of direct 244 killing of pathogens too large in size for phagocytosis. Details of cellular 245immune reactions in the lung and involvement of immune cells in lung repair mechanisms have been reviewed recently [79,81,84]. 246

247 Skin consists of epidermis, dermis, and adipose tissue layers [85]. In 248 the epidermis, a 3D network of macrophages and DCs together with a 249 scarce but even distribution of  $\gamma/\delta T$  cells is present and in continuous 250 contact with the skin microbiome. Skin microbiome protrudes into 251 skin appendages, such as hair follicles, sebaceous, and sweat glands, 252 but, in a healthy body, never crosses the basal lamina between the last

8 antigen-presenting cells

keratinocyte layer and the dermis. The adaptive immunity in skin is 253 presented by T lymphocytes surrounding small diameter venous blood 254 vessels; but the major crosstalk area between antigen presentation of 255 dendritic skin cells and the adaptive immunity are the LNs draining 256 human skin. Therefore, efficient movement of DCs through lymphatics 257 into LNs has been evolutionarily established. B lymphocytes are not 258 present in human skin. The skin is exposed to frequent micro- and 259 macro-injuries throughout life. Therefore, innate immunity in skin 260 supports co-ordinated repair and regeneration [86] and, consequently, 261 requires crosstalk with the local stem cell niches of the epidermis, hair 262 follicles, and sweat glands. 263

It is important to acknowledge significant differences between in-264 nate immunity in experimental animals and humans. Specifically, the265 rodent gut has distinct pH, distinct mucosal barriers, and many more266 M-cells and Peyers' patches than those in humans, the skin differs267 significantly in dendritic and Langerhans cell densities and function268 and the neutrophils of rodents express very different defencing levels.269 These are due to the different ecological niches, the different pathogenic270 challenges, size, and lifespan of rodents in comparison to humans and is271 often not discussed when interpreting substance testing results.272

### 2.2. Adaptive Immunity

A deep understanding of the informed crosstalk between the innate 274 and adaptive immunity on the systemic organismal level and the local 275 architectural level of each affected organ is necessary to prevent un- 276 wanted immunogenicity of products and create effective vaccines. T 277 and B lymphocytes are the backbone of adaptive human immunity. It 278 sustains as both, memory B and T cells and as a plasma cell-based anti- 279 body responses. A total of 0.5 trillion lymphocytes (nearly equalling the 280 total liver cell mass) are orchestrated by a fine-tuned crosstalk among 281 lymphoid organs and between non-lymphoid organs with a daily distri- 282 bution capacity of  $370 \times 10^9$  lymphocytes through the blood stream 283 and a daily collection capacity of  $27 \times 10^9$  lymphocytes from tissues 284 through LNs back into the blood. They provide a huge unmatched 285 interface for continuous informed crosstalk with and instruction by 286 the innate immune system on local tissue-specific architecture levels. 287 Fig. 1 schematically represents the absolute tissue distribution and 288 movement of lymphocytes to guard the inner parts of all human tissues 289 behind the basal lamina of the epithelium barrier to the outside world. 290

The majority of lymphocytes are concentrated in the professional 291 lymphoid immune organs (encircled in blue) constantly checking 292 APCs for fits with antigens. Among them, the  $190 \times 10^9$  resident lym- 293 phocytes of the 500-600 LNs of our body, with clusters found in the 294 armpits, groin, neck, chest, and abdomen, represent the largest architec- 295 turally organised 3D interface between innate and adaptive immunity. 296 Resident pools of lymphocytes appear transiently during tissue inflam- 297 mation primarily in organs with direct contact to the outside world and 298 the liver, the blood gatekeeper. Under such conditions, HEV will develop 299 which then support the entrance of lymphocytes into inflamed tissues. 300 There is a continuous transmigration of lymphocytes from the blood 301 into these aforementioned organ-based reservoirs, guided by a tissue- 302 specific special stromal "address code" in the organs [87,88]. Homeo- 303 static chemokines are involved in the recruitment of naïve T and B 304 cells at rest, leaving the blood vessel system through the so-called 305 HEV<sup>9</sup> – special sites in professional lymphoid organs. In the case of 306 antigen activation, inflammatory chemokines and other mechanisms 307 co-ordinate lymphocyte migration into antigen invasion and presenta- 308 tion areas [89-91]. Lymphocytes with no match to any antigen present- 309 ed in the LNs or other lymphoid and non-lymphoid antigen-presenting 310 tissues distributed throughout the body return to the blood primarily 311 through lymphatic vessels. Their exit from the lymphoid and peripheral 312

<sup>&</sup>lt;sup>9</sup> high endothelial venules

## tissues to the afferent lymph is not (only) an unselective and passiveprocess [92].

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### 315 2.3. Interface for innate and adaptive immunity crosstalk

If the innate immunity fails to fully eliminate dangerous targets, 316 317structures relevant to further recognition by the adaptive immunity 318 are then presented by APCs (primarily macrophages and DCs, with a growing body of evidence for cases of endothelial and stromal cell anti-319 gen presentation) to the T lymphocytes. The latter are capable of devel-320 oping an antigen-specific long-lasting cellular or antibody-based 321 immune response associated with a memory for immediate antigen 322 323 recognition and elimination on repeated appearance lifelong. An impressive scan efficiency of 500 lymphocytes per DC per hour in the 324325absence of antigen has been reported in imaging studies in intact mice LNs with hours of individual DC-T lymphocyte interactions and a simul-326 327 taneous interaction capacity of 10 T cells per DC [93]. This adaptive im-328 munity develops and sustains as a plasma cell-based antigen-specific antibody response or a cytotoxic T-lymphocyte response primarily in 329the LN,<sup>10</sup> spleen, tonsils, and mucosa-associated lymphoid tissues of 330 the gut. Bone-marrow and thymus provide the background for a contin-331 uous maturation of naïve T and B lymphocytes released into the blood to 332 333 home to the aforementioned immune organs to run through the fullscale adaptive immune response. Remarkably, mature antigen-specific 334 memory lymphocytes and antibody-secreting plasma cells to a certain 335 degree home back into bone-marrow niches. Peripheral naïve and 336 337 memory T cell pools are regulated by complex homeostatic mechanisms 338 [94]. The adaptive immune response toward molecular structures 339 recognised as foreign antigens described is the reason for immunoge-340 nicity of molecular structures of biopharmaceuticals, nanomaterialbased drug delivery and adjuvant systems, cell therapies, and modern 341 342 wound-healing materials when those exhibit antigen properties. Adaptive immunity is also the inbuilt trigger for successful vaccination 343 of humans. 344

## 345 3. Architecture of professional lymphoid organs – form follows 346 function

Efficient development of an adaptive immune response resulting in 347 348 the long-lasting formation of antigen-specific antibodies or generation 349of target-specific cytotoxic T lymphocytes is a time-consuming staged 350process, well-known to the general public from the developments of 351colds. Infection, swelling LNs, a week-long fever or feeling under the 352weather, and, finally, full recovery after two weeks. This corresponds to the first low affinity IgM antibody formation against antigens of the 353 354 pathogen and a second stage formation of high affinity IgG antibodies 355and memory lymphocytes supporting fast elimination at repeated contact. LN architecture and transformation capacity in response to 356 activation by antigen are responsible for the reaction described. 357

358 3.1. Lymph node

The LN evolved in evolution to optimise the likelihood that rare lym-359 phocytes with unique antigen specificity encounter their cognate anti-360 gen in the appropriate immunogenic or tolerogenic context. Search 361 strategies that maximise the exposure of each individual lymphocyte 362 to the largest possible number of APCs are critical to the success of 363 this system. In vivo imaging studies in mice have revealed spatiotempo-364 ral cellular dynamics of DC and T cell interaction and, upon antigen 365 366 activation, T and B cell interaction in LNs [95-98]. In brief, DCs in LNs 367 are enmeshed in an extensive network and remain in place while actively probing adjacent T cells with their processes, facilitating the in-368 teraction with migrating T cells. Within a few hours after entry into LNs, 369

T cells make short-lived contact with the antigen-bearing DCs, each con- 370 tact lasting an average of 11-12 min and occurring mainly on dendrites. 371 An altered pattern of T cell motility during this early stage of antigen 372 recognition promotes serial engagement with several adjacent DCs. 373 Published estimates for scanning efficiency range from 500 to as 374 many as 5000 T cells that may contact individual DCs per hour [99]. 375 Subsequently, T cell behaviour progresses through long-lived clusters, 376 dynamic swarms and autonomous migration punctuated by cell divi- 377 sion. Upon exposure of antigen, B cells migrate toward zones of B cell 378 and T cell interface with a low motility which increases after one day 379 to approximately 9 µm/min. Antigen-engaged B cells pair with 380 antigen-specific helper T cells for 10 to more than 60 min, whereas 381 non-antigen-specific interactions last less than 10 min. B cell-T cell con- 382 jugates are highly dynamic and migrate extensively being led by B cells. 383 B cells occasionally contact more than one T cell, whereas T cells are 384 strictly monogamous in their interactions. It is assumed that the major 385 principles of those dynamics follow the same routes in rodents and 386 humans; specific receptor background, molecular composition, micro-387 environment, and pathways may also differ significantly. To accomplish 388 the complex spatiotemporal cellular dynamics at immunological rest 389 and upon antigen activation, the human LN is divided architecturally 390 into three distinct functional areas (Fig. 2a): paracortex, cortex, and 391 medulla (Fig. 2), which are pervaded by fissures and channels draining 392 the lymph fluid [100,92]. These lymphoid compartments are structured 393 and controlled by stromal cells, e.g. MRCs,<sup>11</sup> FRCs<sup>12</sup>, and FDCs<sup>13</sup> 394 [67,68,101–103]. APCs and antigens enter the LN via afferent lymph 395 vessels into the sub-capsular sinus. A system of fissures and channels 396 supports their efficient distribution toward cell contact areas with DCs 397 or T lymphocytes [104,105]. Lymph fluid, lymphocytes and secreted an- 398 tibodies are drained by the medullar sinus and efferent lymphatic ves- 399 sels. The conduit system ensures the accelerated and effective passage 400 of soluble antigens directly into deeper areas of the paracortex and the 401 cortex [106,107]. The cortex is dominated by B cells arranged as follicles 402 and GCs. The paracortex is dominated by T cells interacting with 403 antigen-presenting DCs. Naïve lymphocytes circulating in the blood 404 enter the paracortex via HEV. B cells then migrate via the T cell zone 405 and home into the cortical zone attracted by FDCs. T cells swarm 406 through the paracortical zone searching for APCs [108]. During their 407 homing from peripheral organs into LNs, DCs have processed antigen 408 molecules and present peptide fractions via MHC II receptors to T 409 cells. Upon discovery, the T cells are specifically activated by DCs pre- 410 senting the matching epitope for which they are designated. The central 411 part of the LN, the medulla, contains transient plasma cells, macro- 412 phages, and B cells. Antigen-specific activated T cells proliferate and 413 migrate toward the border of the cortical zone and come into contact 414 with B cells located in the primary follicles or with individual peripheral 415 B cells. The B cells are activated by the combination of direct antigen con- 416 tact, presented as immune complexes called iccosomes on FDCs 417 [109,110] and T cell co-stimulation. The GC<sup>14</sup> reaction is initiated. Here, 418 B cells compete for antigene and for T cell help, and start to proliferate 419 [111–114]. The highly sophisticated interaction with the FDC drives the 420 refinement and class-switching of the pre-defined antibody of the B 421 cell [109]. In the dark zone of the GC, activated B cells differentiate into 422 rapidly dividing centroblasts which produce centrocytes competing for 423 antigen contact on FDCs in the light zone of the GC [115]. Weakly binding 424 centrocytes die by apoptosis. FDC contact to centrocytes facilitates affin- 425 ity refinements of their encoding antibodies. During the process of re- 426 finement, centrocytes may also re-enter the dark zone to become 427 proliferating centroblasts again [116-118]. When successfully refined, 428 the centrocytes differentiate into plasmablasts, antibody-secreting tran- 429 sient plasma cells or B memory cells, which stay in the mantle zone of the 430

<sup>&</sup>lt;sup>10</sup> lymph node

<sup>&</sup>lt;sup>11</sup> marginal reticular cells

<sup>&</sup>lt;sup>12</sup> fibroblastic reticular cells

<sup>&</sup>lt;sup>13</sup> follicular dendritic cells

<sup>&</sup>lt;sup>14</sup> germinal centre

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**Fig. 2.** Lymph node architecture enabling adaptive immunity a) LN tissue is separated into three distinct areas: cortex, paracortex, and medulla. The paracortical zone is dominated by T cells. Primary follicles and germinal centres are found in the cortical zone. Antigens and APCs are transported by lymphatic sinuses and the conduit system. Lymphocytes enter the paracortical zone via HEVs and get in contact with APCs. Activated T cells proliferate and migrate toward the border of the cortical zone. b) Lymphoid follicles, consisting of B cells and FDCs and their border zone represent the architectural units of a lymph node at immunological rest. c) The GC is the core unit of the antibody response upon repeated antigen-activation. In a micro-evolutionary process, B cell-derived centrocytes are competing for the best antigen binding to immune complexes on FDCs, resulting in antibody refinement by affinity maturation and class switch. T and B memory cells and plasmablasts are leaving LNs and homing into bone-marrow.

GC for a while. Plasmablasts and T memory cells leave the mantle zone
and home into the bone-marrow via lymphatic drain and blood transport [119]. B memory cells leave the mantle zone but do not home to
any particular environment. Continuous circulation through the blood
stream and the lymphoid organs support their efficient function as
guards against pathogen invasion.

437 Consequently, ALN models should aim to model the primary follicles and their crosstalk zone with T lymphocytes as the primary functional 438 unit for first antigen contact. Furthermore, they should provide a stable, 439 long-lasting microenvironment for the transformation of such naïve 440 441 functional units into GCs under initial and repeated antigen exposure. It is important to note that the process of follicle activation and transfor-442 443 mation into highly organised GCs is of such importance for antigenspecific antibody formation in humans that these structures sometimes 444 even appear de novo in non-lymphoid tissues associated with sites 445 of chronic pathological inflammation in autoimmune disorders, e.g. 446 rheumatoid arthritis, and are then called tertiary lymphoid organs. 447

#### 448 3.2. Bone-marrow

The bone-marrow is divided into red marrow, stroma, and white 449 marrow (Fig. 2b). Haematopoiesis and adaptive immunity niches for T 450and B memory lymphocytes and plasma cells are located in the red 451marrow. Re-infection with a known pathogen pushes an antigen-452driven recall of memory response and rapid and massive production 453of highly specific antibodies due to the existence of these niches 454[120–123]. Stroma controls the homeostasis of bone material and pro-455vides the microenvironment for all niches in the bone-marrow. Its role 456in maintaining bone-marrow immunity has been investigated in-457depth [124]. Mechanisms for long-term survival of plasma cells in 458bone-marrow niches, among others, revealed an important function of 459460 eosinophils [125–127]. The white marrow is dominated by fat cells. Bone-marrow is highly compartmentalised to facilitate the massive pro- 461 duction of erythrocytes, platelets and leukocytes [128–130] and to pro- 462 vide lifelong niches for stem cell regeneration and immunological 463 memory [131,120]. Haematopoietic stem cells differentiate into contin- 464 uously proliferating progenitor cells for the supply and renewal of blood 465 cells in distinct functional areas of the red marrow: 466

- Erythroblasts produce erythrocytes in the erythroblastic area 467
- Platelets are generated by megakaryoblasts and released into the 468 blood in the thrombopoietic area 469
- T and B lymphocytes are generated by lymphoblasts in the 470 lymphoblastoid area 471
- Monocytes are formed by monoblasts in the monocytopoietic area 472
- Granulocytes (basophils, eosinophils and neutrophils) are generated 473 by myeloblasts in the myeloid area. 474

The majority of bone-marrow equivalents established in vitro target 475 the emulation of haematopoiesis, mesenchymal stem cell research, and 476 clinical potential for transplantation [132–136]. Here, we focus on 477 systems that emulate human bone-marrow architecture as closely as 478 possible and, consequently, potentially support adaptive immunity 479 functions (plasma cell niches, T and B cell memory niches). 480

### 4. Emulating human immunity in vitro

We have divided the in vitro systems emulating human immunity 482 surveyed into two groups according to their level of architectural and, 483 consequently, functional complexity. 484

481

 The first group exemplifies the large quantity of test-relevant 2D and 485 matrix-assisted immune cell assays emulating the response of indi-486 vidual immune cell types to an immunogenic structure in conven-487 tional culture plates.

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2. The second group depicts the as yet small number of existing exam-489 490 ples of innate non-lymphoid and, even rarer, adaptive lymphoid 3D 491immunity in vitro; the first emulating innate immune responses of 492pathogen-exposed tissues, such as gut, lung and skin, integrating immune cells into respective 3D organ equivalents. The latter targeting 493the organotypic emulation of the adaptive antibody response involv-494ing co-ordinated T and B lymphocyte crosstalk in professional 495lymphoid organs, such as LNs, spleen and bone-marrow. 496

The majority of assays and systems are operated using primary human immune cells derived from blood and, occasionally, from solid tissue biopsies.

### 500 4.1. 2D and matrix-assisted immune cell assays

501 The assays reviewed in this category have been divided into PBMC, 502 adhesion and migration, DC, ADCC, and ELISPOT, according to their 503 function and cell type.

### 504 4.1.1. PBMC<sup>15</sup> assays

The first step of adaptive immunity is an interaction of leucocytes 505with an antigen, to develop a specific immune response, or a drug, to 506 507modulate immunity. The activation and proliferation of T cell popula-508tions and induced cytokine secretion, for example, are used to identify drug-related immune interference between 24 h and up to eight days 509of treatment. The simplest test procedure provides the opportunity to 510test large cohorts of donor PBMCs with average donor-to-donor varia-511512tions or to identify certain donor-specific responses (e.g. HLA<sup>16</sup> restriction). Other prime examples are EpiScreen™ for PBMC immunogenicity 513testing [137,138] and T cell priming for the evaluation of haptenated 514skin sensitizers in a co-culture model of DCs [139]. Immune responsive-515516ness of IFN  $\beta$ -1a formulations has been tested using T-cell assays [137]. A total of 42 assays using PBMCs in co-culture with HUVECs<sup>17</sup> to esti-517518mate the immunotoxic potential of more than 320 chemicals have been included in the US ToxCast™ research programme as of August 51910, 2013 (http://www.epa.gov/actor). This programme is currently 520the most strategic and co-ordinated public sector effort and is aimed 521522at transforming toxicology according to the Toxicity testing in the 21st century vision of the US National Research Council [140–142], launched 523by the US Environmental Protection Agency in 2007 [143]. A very recent 524improved PBMC assay involving whole blood samples and human 525endothelial cells finally came up with an in vitro cytokine storm compa-526rable to the in vivo TGN1412 disaster of 2006. Researchers had to 527perform a short preculture of PBMCs at high densities subsequent to 528stimulation with soluble TGN1412 to achieve the cytokine release 529comparable to the in vivo disaster in this artificial in vitro setting [144]. 530

### 531 4.1.2. Adhesion and migration assays

532Adhesion of blood immune cells to vessel walls and their migration into tissues are the basis of leucocyte homing into non-lymphoid and 533lymphoid organs and, therefore, initiate any innate or adaptive immune 534535response [145,46]. Consequently, a plethora of adhesion and migration 536assays on various immune cells were developed in culture plates. Immobilised adhesion molecules or endothelial cells served as attach-537ment surfaces, while semi-solid matrices served as migration tools. 538Within the last two decades, various cell culture plate modifications 539540have become available to improve the mimicry of blood flow and endothelial cell barrier functions to enable leucocyte rolling, adhesion and 541transmigration through endothelial cells into another culture compart-542543 ment within a single cell culture plate. A prime example is the VenaFlux microfluidic cell culture plate of Cellix Ltd., Ireland, which has been suc-544cessfully applied for antibody-mediated adhesion of malaria-infected 545

erythrocytes to chondroitin sulphate A in placental tissue [146–148]. 546 Higher affinity of surface molecules to some receptors not seen in vivo has been reported using in vivo and in vitro techniques to study the migratory behaviour of T and B lymphocytes [149,150]. 549

#### 4.1.3. DC assays

DC-based assays have been developed during the last decade to 551 predict skin sensitization and allergic contact dermatitis of chemical 552 compounds, particularly by the cosmetics industry as part of the hazard 553 assessment of cosmetics and consumer care products [151]. This type of 554 assay in combination with in silico modelling could prove to be an alter- 555 native to the mouse-based local LN assay currently used [139]. In addi- 556 tion, co-culture assays of DCs with T lymphocytes have been established 557 in culture plates to mimic the antigen presentation process, which is the 558 crosstalk between innate and adaptive immunity. Therefore, DC matura- 559 tion and priming in vitro have been optimised and T cell activation read- 560 outs, such as cytokine release and proliferation, have been quali- 561 fied [137,138]. The Sens-it-iv programme was launched by the European 562 commission in 2005 (http://www.sens-it-iv.eu/) to develop assays for 563 the identification of skin and lung sensitizers. This programme aimed to 564 identify in vitro mechanisms relevant for in vivo sensitization on the 565 level of skin and lung epithelia, DCs and T lymphocytes and has been ex- 566 tensively reported, including developed assay formats [152]. Non-animal 567 skin sensitization assays have been comprehensively reviewed recently 568 [153]. 569

### 4.1.4. ADCC<sup>18</sup> assay

The ADCC of an antibody depends upon its specific antigen-binding 571 and Fc $\gamma$ -receptor-mediated recruitment of NK cells. Therefore, NK 572 cells or cell lines are used in combination with proper target cells, 573 such as tumour cells, in the assays. The most effective antibodies enable 574 aggressive tumour cell killing by NK cells by facilitating their contact 575 [154]. 576

4.1.5. ELISPOT<sup>19</sup> assay 577

The ELISPOT assay is a cell-based assay to quantify the number of 578 secretory active cells, e.g. antigen-specific activated cells. Only a few 579 assays are available to quantify. It is also a powerful method to test 580 the killing performance of cytotoxic T cells. Antigen-specific T cells are 581 identified by peptide-stimulated IFN<sup>20</sup> secretion and cytotoxic T cells 582 by granzyme B secretion. An immunosorbent multiwell plate is coated 583 with a detection antibody against the secretory target of interest. 584 PBMC, plasma cell or T cell preparations are seeded onto the plate and 585 stimulated by antigen-derived peptides or stimulatory cocktails. During 586 a cultivation time of a few days, secreted target molecules are captured 587 locally by the membrane-bound detection antibody on the plate. Spots 588 are developed using a secondary detection antibody in combination 589 with an enzyme-substrate, and quantified by image analysis [155,154]. 590

#### 4.1.6. Sequential combination of 2D and matrix-assisted assays

The most recent improvement emulating complex crosstalk be- 592 tween innate and first-step adaptive immunity in a multiwell transwell 593 format is the assay platform MIMIC<sup>™</sup> [156,157]. This combines three 594 different modules: the peripheral tissue equivalent, the lymphoid tissue 595 equivalent, and the functional assay construct. The peripheral tissue 596 equivalent module mimics a confluent monolayer of HUVECs on a colla-597 gen matrix for peripheral drug exposure. Pre-cultivation and treatment 598 are performed in a transwell system. When exposed to the drug, added 599 DCs are activated and transmigrated through the cell layer and matrix 600 construct. The basic idea is that DCs are more effective APCs when 601 exposed to drugs in a skin-like environment and after successful trans-602 migration. The lymphoid tissue equivalent module is based on 603

<sup>&</sup>lt;sup>15</sup> peripheral blood mononuclear cell

<sup>&</sup>lt;sup>16</sup> human leucocyte antigen

<sup>&</sup>lt;sup>17</sup> human umbilical vein endothelial cells

<sup>&</sup>lt;sup>18</sup> antibody-dependent cell-mediated cytotoxicity

<sup>&</sup>lt;sup>19</sup> enzyme-linked immune spot

<sup>&</sup>lt;sup>20</sup> interferon

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604 lymphocytes in a 2D culture, as previously described. Co-culture be-605 tween pre-treated APCs and the lymphocytes is performed. Activation, proliferation and cluster of T cells are used as standard read-outs. Cells 606 607 can also be used for functional assays post-experimentally. Promising in vitro data have been generated using this system for research on 608 several vaccines and biopharmaceutical drugs and have been compared 609 with the human clinical situation [158-160]. This points out that 610 combining existing assays into an integrated test strategy might support 611 612 relevant data acquisition and interpretation in industrial settings.

613 To summarize: Almost all of the regulatory and industry-accepted 614 human immune cell culture assays rely on 2D and matrix-assisted cell culture regimens that provide short antigen or drug exposure time of 615 between a few hours and a few days. Longer cultivation time under 616 617 such static conditions will usually result in even more artificial cell activation caused by the waste product and cytokine accumulation, cell ne-618 crosis or apoptosis, or media starvation. Furthermore, each assay 619 represents a discrete segment of the whole sequence of orchestrated 620 events during a full-scale human immune response, suffers from artifi-621 cial culture conditions, and supports only single pathogen or antigen 622 exposure. Therefore, these assays are limited to the assessment of 623 perturbations in immunity pathways at the molecular, organelle, and 624 cellular levels only. MoA analyses on a 3D tissue level and AOPs [161] 625 626 on a systemic organismal level cannot yet be implemented. Furthermore, due to the lack of natural fluid-to-tissue ratios, it is a challenge 627 to integrate data on artificial in vitro exposure with dosimetry 628 and human exposure information. QIVIVE<sup>21</sup>-modelling approaches 629 [162-167] in the pharmaceutical industry, and reference dose, or 630 631 other exposure guidance values [168-170] in the consumer industry, offer a growing and promising interpretation of data generated by 632 these assays. Finally, there are no assays currently available in a culture 633 plate format to emulate the second important step – B cell maturation 634 635 into plasma cells and antigen-specific antibody secretion switching 636 from IgM- to IgG-type antibodies. This is an additional critical chasm: It makes it currently impossible to model the entire process of human 637 immunogenicity in vitro. Consequently, stepwise progression of 638 systems, over and above 2D and matrix-assisted immune cell assays, to-639 640 ward 3D immunity is apparent. Any move of in vitro assays toward a 641 higher degree of biology - organ or even organismal level - would eventually permit the transition from more hazard-based prioritisation to 642 fundamental risk assessment. 643

### 644 **5. 3D immunity in vitro**

Such a move toward organ-level immunity in vitro has been tried via
two avenues: the integration of relevant immune cells into established
non-lymphoid 3D organ equivalents and the creation of professional
lymphoid 3D organ equivalents. Next, we survey the few early successful systems and their respective assay potential.

### 650 5.1. Immunocompetent non-lymphoid 3D organ equivalents

3D non-lymphoid organ equivalents have been developed for vari-651 ous uses for at least 100 years [171] with impressive successes, both 652 at conventional culture plate format and, with the use of MEMS<sup>22</sup> at 653 the beginning of this century, miniaturised onto chips to support dy-654655 namic fluid flow and parallelized high throughput substance testing 656 [172–176]. The immune cell distribution within their human counterparts has been ignored in non-lymphoid 3D tissue engineering for a 657 long time. Based on registrations, with a current total of 8 million differ-658 659 ent chemical entities and a total manufacture of 12.2 trillion kilograms 660 in 2006 [177], the variety and potential dosage of chemicals to which a human individual is exposed have both increased exponentially over 661

the last 50 years. Ironically, so have the allergic and immunogenic disor- 662 ders, such as atopic dermatitis, allergic rhinitis, asthma, hay-fever, food 663 allergies, and idiosyncratic drug-induced liver injuries. The latter have 664 already affected more than 30% of the human population worldwide 665 [178], with alarming allergy growth rates in children. This, in combina- 666 tion with the urgent interest of a broad spectrum of industries to pro- 667 vide safe consumer products and effective drugs, cosmetics and food 668 ingredients, has provoked a shift toward the integration of immunity 669 into non-lymphoid 3D organ equivalents of gatekeeper organs 670 (e.g. gut, lung, skin, and liver) within an organotypic architecture at 671 the beginning of this century. The first remarkable results at a research 672 level have followed. Liver models at a miniature chip-based scale have 673 recently been reviewed elsewhere [29]. We focus on reviewing the 674 prime identified immunocompetent gut, lung and skin models, which 675 are illustrated in Fig. 3. We selected these models from the literature 676 based on two precepts: 677

- Static 3D in vitro models using culture formats, applicable to 678 parallelized higher throughput substance testing and operated by 679 periodic medium exchange. They were developed by adding another 680 tissue culture dimension to the advanced 2D and matrix-assisted 681 immune cell assays, described in this review earlier, 682
- Dynamic 3D chip-based microfluidic culture formats, which have 683 been developed from scratch to provide continuous media supply 684 to the tissues and mechanical coupling to enable tissue-specific 685 shear stress and, potentially, immune cell circulation. 686

### 5.1.1. Immunocompetent 3D in vitro gut

687

Gut mucosa is the largest interface barrier between the human body 688 and the outside environment and hosts the largest microbiome. It is the 689 major site for the induction of acquired tolerance toward food proteins 690 and microbiome antigens generating the largest pool of regulatory T 691 cells in the human body. It is also the main entrance route (oral admin- 692 istration) for pharmaceuticals and food additives. Inflammatory bowel 693 diseases, such as ulcerative colitis and Crohn's disease, are the most 694 prominent immunological disorders of the gut, with animal models fail- 695 ing to take into account the dysregulation of the innate or adaptive im- 696 mune system [179]. Human in vitro models of inflamed intestinal 697 mucosa would be of utmost relevance to assess the interference of orally 698 administered drugs with a patient's mucosal immunity. Leonard and 699 colleagues recently developed a 3D inflamed intestinal mucosa equiva-700 lent [180,181], based on the co-culture of intestinal epithelial Caco-2 701 cells with primary, blood-derived macrophages and DCs (seven-day 702 preculture) as components of the intestinal innate immune system 703 (Fig. 4a). The model is established within a static transwell-based tissue 704 culture plate format during a co-culture period of three weeks. This 705 results in a closed Caco-2 cell monolayer covering a collagen layer 706 comprising DCs and macrophages in a 3D architecture, all located on 707 the porous membrane of a transwell insert. Inflammation is induced 708 by a two-day exposure of IL-1 $\beta$ . The model has been proofed by a 709 four-hour exposure of a Budenoside nanoformulation and subsequent 710 four-day culturing to assess relevant responses. Due to the separate 711 access to both the apical and the basolateral compartments in this 712 transwell-based culture plate system, read-out samples can be taken 713 from both sides of the 3D intestinal mucosa equivalent. Budenoside is 714 a standard treatment for moderate and mild inflammatory bowel 715 diseases. In this case, Budesonide solution, its liposome formulation 716 and its combination with PLGA<sup>23</sup> nanocarriers were investigated in 717 the 3D immunocompetent model. Different read-out parameters were 718 used to evaluate the treatment efficacy. The involvement of the immune 719 cells in the inflammatory response resulted in significantly higher in-720 flammatory marker release compared to the respective monocultures. 721 This interplay seems to exist between all three cell types, as only the 722

 $<sup>\</sup>frac{21}{22}$  Quantitative in vitro – in vivo extrapolation

<sup>&</sup>lt;sup>22</sup> micro-electro mechanical systems

<sup>&</sup>lt;sup>23</sup> poly(lactic-co-glycolic acid)

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Fig. 3. Bone-marrow architecture a) The different zones of haematopoiesis and niches for immunological memory of T and B cells of bone-marrow are highlighted in a schematic crosssection of a bone. Platelets, erythrocytes, lymphocytes, monocytes, and granulocytes are continuously produced in the red marrow and released into the blood. Memory T and B cells home from secondary lymphatic organs into the bone-marrow. Most of the antibodies in humans are produced by plasma cells located in the bone-marrow. b) The extensive vasculature supporting the aforementioned cell movement is accentuated in the 3D sketch of the bone section. The legend describes the different cell types.

presence of both DCs and macrophages synergistically enhanced the 723 strength of the immune answer. A preferential uptake of nanoparticles 724 into immune cells was observed. Valuable data can be generated in 725726 the model as a pre-stage for in vivo animal studies, therefore, reducing the number of animal tests. Several other static cell culture models in-727 corporating immunocompetent cells, such as macrophages or B cells, 728to stimulate intestinal tissue have been developed [182–184] with the 729reviewed one being the most advanced both in architecture and, conse-730 731 quently, functional read-outs.

A remarkable dynamic microfluidic system mimicking the immuno-732 competent mucosal barrier has recently been introduced by Ramadan 733 and colleagues [185]. These researchers, with the aim of providing a 734platform for nutrition analysis, designed a microfluidic chip translating 735 736 the transwell insert concept of culturing supporting cell cultures in apical and basolateral compartments divided from each other by a porous 737 membrane (Fig. 4b). The so-called NutriChip system comprises two 738 microfluidic layers sandwiching a polyester membrane with a pore 739 size of 0.4 µm. The apical layer contains a single chamber, which hosts 740 the epithelial cells, and is interfaced by a chamber made in the 741 basolateral layer, which receives the substances transported through 742 the epithelial confluent cell layer grown on the membrane. The 743 human epithelial Caco-2 cells have been used to cover the membrane 744 745 in the apical layer and the human monocytic cell line U937 has been used as an immunity surrogate in the basolateral layer. It was found 746 that the culture conditions of the epithelial and the monocytic cells 747 were incompatible, therefore, a dedicated culture chamber was created 748 for the monocytes next to the epithelial cell basolateral chamber. The 749 two chambers are separated by a valve, which is normally closed and 750 is opened for the monocyte stimulation process. The very early initial in-751 vestigations of the system do not allow a conclusive summary of an 752 added value of the system over the aforementioned static culture sys-753 tem, but the principal ability to apply shear stress mimicking that of 754 the gut peristaltic at the apical side, and that of interstitial fluid flow 755 and blood perfusion-assisted substance removal at the basolateral side 756 might increase the longevity of such systems. Another impressive 757 microfluidic gut has been reported by Kim and colleagues [186]. The 758 application of cyclic strain to the epithelial Caco-2 cell layer in this 759 model reduced the formation of a well-defined consistent monolayer 760 from 7 to 21 days down to three days. Furthermore, it supported the 761 co-culture of bacteria (lactobacillus rhamnosus) as a surrogate for the 762 human microbiome. Unfortunately, this dynamic gut model does not 763 yet consist of any human immunity. 764

### 5.1.2. Immunocompetent 3D in vitro lung

The total surface area of airways and alveoli in a lung of a healthy 766 human individual is about 120–150 m<sup>2</sup>, nearly ten times that of 767

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human skin, but less than half the area of the gut. The most prominent 768 769 driver of the development of human in vitro lung models is smoking and the increasing morbidity and mortality caused by adverse health 770 771 effects of ambient particulate air pollution [187,188]. Recent studies indicate a specific toxicological role of inhaled ultrafine particles smaller 772 than 0.1 µm in diameter [189]. In addition, there are progressively 773 774 more nanoparticles released into the air, water, and soil every year. 775These particles can cross the air-blood barrier. Therefore, human airway and alveolar epithelial models are commonly used for translocation and 776 toxicity studies [190]. A resident 3D transepithelial network of alveolar 777 macrophages (professional phagocytes in the lung) in the epithelial 778layer and DCs (professional APCs) inside and underneath the epitheli-779 um, with a steady-state density of 500–750 cells/mm<sup>2</sup>, is one of the 780

major barriers preventing particles crossing into the blood [82,83]. 781 Among others [191,192], the prime static 3D tissue model of the 782 human respiratory tract identified during our study of the literature 783 has been established by Rothen–Rutishauser and colleagues in a 784 transwell plate format containing epithelial cells, macrophages, and 785 DCs (Fig. 3c [193]). Human epithelial cells, represented by the A549 786 cell line [194], were seeded at the apical side of a cell culture insert 787 (Fig. 4c) and cultured for seven days. Macrophages and DCs were derived from PBMCs by preculture protocols of seven to ten days. The 789 triple-cell co-culture was established by adding the DCs onto the 790 basolateral side of the insert (2 h incubation for attachment) and the 791 macrophages were applied on top of the epithelial cell monolayer (2 h 792 incubation for attachment). The triple-cell co-cultures were exposed 793



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**Fig. 5.** HuALN — a human 3D lymph node equivalent a) Schematic overview of the HuALN used for substance testing. Different cells of the native immunity are separated from donor leukocytes, differentiated into mature cells, e.g. DCs, seeded into 3D matrices, and, finally, mounted into a bioreactor device (b), where media and cell suspension flow vertically and gas supply perfuses the system horizontally. The oxygenating hollow fibre membranes are encompassed by the immobilised matrix-assisted cell suspension. A photograph of the bioreactor is shown in the upper right corner of b). Follice-like spheroid formation and proliferation (image c; Ki67; red staining), plasma cell differentiation (image d; CD138; red staining) and antigen-specific binding on plasma cells (image e; biotynilated CMV-lysate; red staining) was confirmed histologically on tissue sulces upon tissue culture completion (from [207] reprinted with permission from Elsevier). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

to particles 1 µm in diameter in suspension [193] or on the air–liquid interface by spraying [82] over a period of 24 h. The model has been used
for studying the properties of the transepithelial network of macrophages and DCs upon particle stimulation. Important aspects of uptake
mechanisms could be elucidated on the level of innate immunity. No
other assays or test systems are currently able to provide datasets on
that theme.

An advanced dynamic 3D alveolar model has been proposed by Huh and colleagues. The microfluidic chip-based system allows for media transport above and beneath a barrier, composed of human alveolar epithelial cells on the apical surface of a porous membrane and human endothelial cells on the basolateral surface (Fig. 4d [195]). The chip design supports the establishment of an air-liquid interface and, more importantly, a cyclic strain applicable to the alveolar in vitro barrier. Human neutrophils could be provided to the basolateral surface 808 by the respective microfluidic channel. The establishment of the func-809 tional alveolar barrier takes approximately 7–21 days, and subsequent 810 exposure to bacteria, inflammatory cytokines, and nanoparticles allows 811 the investigation of mechanisms of tissue and innate immune response 812 with neutrophil involvement. It could be shown that cyclic mechanical 813 strain accentuates inflammatory responses; this could not have been in-814 vestigated in static cultures. The universal design of the microfluidic 815 platform recently allowed the establishment of an induced pulmonary 816 oedema model [196]. Drug toxicity-induced pulmonary oedema, 817 observed in human cancer patients treated with interleukin-2 (IL-2), 818 could be reproduced at similar doses and over the same timeframe in 819 this lung-on-a-chip model. Studies using this on-chip disease model revealed that mechanical forces associated with physiological breathing 821

Fig. 4. Immunocompetent non-lymphoid 3D organ equivalents. The figure presents the prime existing systems of static (left side) and dynamic (right side) 3D in vitro culture systems of human gut (a and b), lung (c and d) and skin (e and f) containing one or another subset of immune cells.

- a) The experimental set-up of the static co-culture of an intestinal epithelial cell line with macrophages and DCs is represented above. Deposition of nanoparticles in a non-inflamed state (below left) and after induction of inflammation (below right) is visualized by confocal laser scanning microscopy; blue signal: Caco-2 cell nuclei stained with DAPI; red signal: autofluorescence of immunocompetent cells; green signal: fluorescently labelled nanoformulations (reprinted with permission from [180], Copyright 2010, American Chemical Society and [181]). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
- b) A photograph of the microfluidic NutriChip interface unit (above) for the co-culture of gut epithelial cells and macrophages and the underlying tissue culture principle (below) are presented (reproduced from Reference [185] with permission from The Royal Society of Chemistry).
- c) Triple static co-culture establishment of lung epithelial cell line, DCs and alveolar macrophages is schematically depicted (above). Immunohistological staining (below) exemplifies particle (green) attachment and uptake by DCs (turquoise). Transition electron microscopy image (below right) illustrates particle uptake by lung epithelial cells (Reprinted with permission of the American Thoracic Society. Copyright © 2013 American Thoracic Society [193]).
- d) Fluorescently labelled human neutrophils (white dots; right image in the centre) adhere to the activated endothelium after introduction into the vascular microfluidic channel. The lung mimic device (below) uses compartmentalised microchannels to form the alveolar-capillary barrier, recreates physiological breathing movements by applying vacuum to the side chambers and represents the gold standard for dynamic immunocompetent 3D organ engineering (from [195] reprinted with permission from AAAS).
- e) Schematic of the static 3D triple co-culture model of human keratinocytes, fibroblasts and DCs at the air-liquid interface (above). Images (below) depicting the localization of DCs (red) in the three different layers top layer, middle layer and bottom layer (from left to right) following stimulation with DNCB, shown by live cell fluorescence imaging (reproduced with permission from [197]).
- f) A photograph of the microfluidic MOC platform with built-in micropump and microchannel circuit combining two 3D tissue culture spaces is shown above. The schematic of skin biopsies operated in the culture compartments within a transwell insert is represented below. The platform serves as a reference gold standard for upcoming dynamic multi-organ-chip technologies (reproduced from Reference [203] with permission from The Royal Society of Chemistry).

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motions play a crucial role in the development of increased vascular 822 823 leakage that leads to pulmonary oedema, and that circulating immune cells are not required for the development of this particular disease. 824 825 Compounds of resident innate immunity and circulating immune cells were not required for the particular oedema model, but the 826 transepithelial network of macrophages and DCs, described earlier, 827 might be a relevant further addition into the model for a broad coverage 828 of lung diseases. 829

#### 830 5.1.3. Immunocompetent 3D in vitro skin

Chau and colleagues [197] recently described an advanced static 3D 831 skin equivalent. This combines human keratinocytes, PBMC-derived 832 833 human DCs and fibroblasts at a 30:1:10 ratio, each immobilised on their own matrix or scaffold and, subsequently, combined into a 834 three-layer CellGrown™ tissue culture insert (Fig. 4e). A human three-835 836 layer skin construct at an air-liquid interface comprising keratinocyte and fibroblast layers at a thickness of 100 µm and 30 µm, respectively, 837 838 and an agarose-fibronectin layer of monocyte-derived immature DCs sandwiched between the keratinocyte and fibroblast cell layer, has 839 been successfully established. Crucial 3D prerequisites are, therefore, 840 provided for a functional innate immunocompetence: an even distribu-841 tion of mobile immature DCs, a stromal bed providing de novo ECM<sup>24</sup> 842 compounds and a stratified and closed epithelial barrier layer being in 843 tight crosstalk with the stromal bed and the immature DCs. Further-844 more, the pre-culture time for the keratinocyte and fibroblast layers 845 was two days and four days, respectively, while preculture of the 846 847 mDCs lasted six days. The 3D co-culture of the three layers was performed over seven days. DNCB,<sup>25</sup> an established skin sensitizer 848 with extensive cell response documentation [198-202] was used at 849 2 µM to stimulate this model for 24 h. The model responded to the top-850 ical application of the sensitizer, as evidenced by the upregulation of 851 852 CD86 and HLA-DR on the mDCs. Intriguingly, these same cells produce a significantly weaker response to DNCB when in isolation. However, 853 none of the cytokines examined (i.e. IL-1 $\alpha$ , IL-6 and IL-8) was upregu-854 lated in response to DNCB. This is probably due to the more differentiat-855 856 ed nature of keratinocytes in this model. This skin equivalent has impressively proven to be suitable for the evaluation of mDC response 857 to the single exposure of sensitizers in the short-term (seven-day) 858 skin-equivalent cultures. However, it still differs in some important as-859 pects from the in vivo immunity of skin, due to the lack of other resident 860 immune cells, e.g. mast cells and  $\gamma/\delta T$  cells providing other aspects of 861 862 immunocompetence, and the lack of blood circulation for proper immune cell movement. 863

Another approach to emulate the human skin composition of both 864 somatic and immune cell populations is the use of skin biopsies 865 in vitro cultures. Those biopsies contain all the immune cell types rele-866 vant for the donor at the place of biopsy extraction. Atac and colleagues 867 [203] combined this approach with the use of a  $MOC^{26}$  platform 868 (Fig. 4f), supporting a dynamic media flow for nutrient supply and 869 mechanical coupling of transwell-based 4–5 mm prepuce skin punch 870 871 biopsy cultures at the air-liquid interface over 14 days. The comparison of the dynamic cultures of the approximately 500 µm-thick skin biopsies 872 with the respective static control cultures by immunohistology revealed 873 a striking integrity of the epidermis (cytokeratine 10 and 15), dermis, 874 and the basement membrane (collagen IV and tenascine C). It confirms 875 876 keratinocyte proliferation (Ki67) in the dynamic cultures in comparison 877 to epidermal disruption and tissue reorganisation in static cultures. Immune cell analyses have not yet been carried out on the skin biopsies 878 before and after tissue culture, as the prime focus was the delivery of a 879 long-term skin culture system for cosmetics or drug toxicity evaluation. 880 881 In this regard, a stable steady-state skin biopsy culture can be achieved with culture periods of at least four weeks and repeated dose substance 882

exposure over seven days are possible [204]. Logically, the use of biopsy 883 material in skin cultures provides all types of immune cells. 884

The de novo assembly of full skin equivalents and the use of skin 885 biopsies are two equally relevant scientifically grounded approaches 886 to model human skin immunity in vitro. Miniaturised long-term skin 887 culture platforms are urgently required for repeated antigen/sensitizer 888 exposure at relevant test assay throughput for the evaluation of immu-889 nogenicity or sensitization hazard of substances or materials adminis-890 tered dermally. Dynamic microfluidic cell culture platforms, such as 891 MOC, seem to be well-suited to support steady-state skin cultures for 892 repeated dermal substance exposure over at least four weeks. 893

### 5.2. Professional lymphoid 3D immunity in vitro

894

LNs, spleen, tonsils, and mucosa-associated lymphoid tissues of the 895 gut are the backbone of adaptive immunity, with the major response 896 burden carried by the LNs. Therefore, the very rare immune organ engi-997 neering to emulate adaptive immune responses has been focused on LN 898 equivalents. The discovery of memory T and B lymphocyte and plasma 899 cell niches in the bone-marrow has recently incurred new interest in 900 that aspect of biology. Haematopoiesis, bone formation and mesenchy-901 mal stem cell potential are the main drivers for bone-marrow engineer-902 ing. As no accentuation has been made in the field on modelling human bone-marrow plasma cell and memory lymphocyte niches, we depicted 104 the most advanced in vitro system already providing such niches 905 coincidentally.

5.2.1. The 3D HuALN <sup>27</sup> model	907
The tremendous challenges and hurdles to tissue engineer artificial	908

human LNs have been recently summarized [205]. HuALN is the prime 909 system with regard to architectural complexity and functional perfor- 910 mance. It was developed by Giese and colleagues (Fig. 5, [206]) to 911 emulate the interface between innate and adaptive immunity and the 912 development of an adaptive antibody or T cell response in vitro. The re- 913 searchers designed a disposable, miniaturised, and membrane-based 914 perfusion bioreactor system. This consists of a matrix-assisted central 915 culture space of about 1 ml providing the area for DC-T cell crosstalk, 916 lymphoid follicle self-assembly and antigen-induced activation. In 917 addition, a peripheral fluidic space of 4 ml for the recycling of suspended 918 lymphocytes mimicking lymph drainage is included. The central culture 919 space is supported by a planar set of perfusable microporous hollow 920 fibres for continuous media and gas supply and exchange, mimicking 921 the nutrient supply of human blood circulation. The positioning and ge- 922 ometry of the hollow fibres within the reactive lymphoid tissue area 923 support constant oxygen gradients, which are known to be crucial for 924 immune cell niche assembly and rearrangement in lymphoid organs. 925 A resident 3D DC network is established and maintained within two 926 perfusable matrix sheets formed by hydrogels, such as agarose, 927 sandwiching the hollow fibres. The matrix sheets are stabilised by 928 macroporous membranes. A quantity of 10<sup>8</sup> suspended T and B lympho-929 cytes continuously move through from the peripheral fluidic space and 930 can pass through the porous stabilising membranes, swarm within the 931 3D matrices searching for receptor fit with presenting DC cells and, find-932 ing no fit, can leave. The bioreactor can function in excess of several 933 weeks, depending on the biological requirements, or ad infinitum. Re- 934 producible adaptive immune responsiveness of the de novo assembled 935 human lymphoid tissues in the HuALN model has been proven by 936 in vitro immunisation of the HuALN-based lymphoid 3D tissues. These 937 tissues were from donors seronegative for Hepatitis A or CMV<sup>28</sup> infec- 938 tion. Immunisation was carried out with cytomegalovirus lysate or 939 Havrix<sup>™</sup>, a commonly used Hepatitis A vaccine [207]. Immature DCs 940 were prepared in a standard seven-day protocol applying IL-4 and 941 GM-CSF and were then challenged with the respective antigens for 942

<sup>27</sup> human artificial lymph node

28 cytomegalovirus

<sup>&</sup>lt;sup>24</sup> extracellular matrix

<sup>&</sup>lt;sup>25</sup> dinitrochlorobenzene

<sup>&</sup>lt;sup>26</sup> multi-organ-chip

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Fig. 6. 3D bone-marrow in vitro a) Schematic representation of the dynamic bone-marrow culture device consisting of two cylinders with the ceramic scaffold-assisted immobilised bonemarrow cells cyclically flushed with medium in alternating directions. b) Scanning electron microscopy image of the 3D network of heterogeneously shaped cells and extracellular matrix after 19 days of dynamic culture. c) Colony-forming unit assay results revealing the strong haematopoietic potential of the dynamic 3D bone-marrow culture (3D HM) in contrast to the 2D and static 3D cultures (from [209] reprinted with permission from Elsevier).

24 h. Thereafter, the 3D network was formed in the HuALN model, and T 943 and B lymphocytes were continuously brought into contact with the 944 945network at a perfusion rate of 1 ml/day. This first antigen challenge on day one was followed by a second challenge on day six, with a follow 946 up of 14-30 days. Assembly of lymphoid follicle-like tissue structures, 947 immune cell proliferation, and antigen-dependent local cytokine storms 948 949 could be detected associated with an expected donor variability. Exact 950surrogates of GCs could not be observed, however, histological and immunological plasma cell differentiation and antigen-binding on plasma 951cells could be observed. Furthermore, IgM formation progressively 952increasing during the week after immunisation and decreasing over 953 954 the second week could be measured, mimicking the typical IgM profile 955 in humans after a mild virus infection. Recognising the crucial role of mesenchymal stromal cells in immune modulation, Seifert and 956 colleagues [208] recently integrated rat mesenchymal stromal cells 957 into the respective professional lymphoid rat ALN<sup>29</sup> model, revealing 958 959 an active crosstalk between immune and stromal cell populations. Translation of this approach into the human model would further im-960 prove the 3D HuALN performance. At the current development stage 961 962 the model supports immunogenicity and immunotoxicity testing in long-term cultures applying repeated antigen challenges. A transforma-963 964 tion of primary lymphoid follicles/organoids into mature GCs and, consequently, class switch toward IgG with respective antibody affinity 965 maturation has not yet been fully accomplished in the system. Equiva-966 lents to stromal and vascular beds have to be rationally introduced to 967 facilitate this accomplishment. 968

#### 969 5.2.2. A 3D human bone-marrow culture platform

Maggio and colleagues described an advanced 3D scaffold-based 970 perfusion system as a potential model to reconstruct the bone-971 marrow stem cell niche in vitro (Fig. 6, [209]). The model is based on 972 a human bone-marrow-derived nucleated cell culture, including both 973 stromal and haematopoietic fractions, within 3D porous hydroxyapatite 974 scaffolds, with a perfusion of cell suspension through the scaffolds in al-975 976 ternating directions. This results in efficient and uniform cell-seeding 977 and tissue development over several weeks [210-212]. This system allowed the elimination of the standard 2D expansion phase of stromal 978 cells on plastic. Bone-marrow stromal cells were successfully expanded 979

within the 3D scaffolds and the resulting cellular constructs reproducibly 980 formed bone tissue at high efficiency when implanted ectopically in 981 laboratory animals. Furthermore, the system allows the establishment 982 of a 3D co-culture of mesenchymal and haematopoietic cells, thus resem-983 bling a "stromal" tissue bed (Fig. 4b). When medium was supplemented 984 with haematopoietic growth factors, not only mature haematopoietic 985 cells, but also early multipotent progenitors of human immunity could 986 be entrapped in the pores of the scaffold to a higher extent than the 987 corresponding 2D cultures. The crucial impact of synthetic scaffold 988 geometry and chemoattractant composition on marrow-derived stem 989 cell motility along with adhesivity and stiffness has been reviewed else-990 where [213,214]. Cui and colleagues have developed a dynamic 991 microbioreactor platform operating 12 perfused microbioreactors simul- 992 taneously to miniaturise in vitro bone-marrow equivalents down to 993 scales supporting larger test throughput. The system presented provides 994 a perfect basis for further implementation of plasma cell or T and B mem-995 ory cell niches. However, none of the developments reviewed focus on 996 the modelling of immunocompetent bone-marrow niches. 997

### 6. Status and bridging the gap

While reviewing the current landscape, we have found two existing 999 levels of complexity of organotypic 3D complexity of immunity in vitro, 1000 summarized in Table 2, reflecting the respective levels of the AOP 1001 paradigm. 1002

The level A of 3D immunity in vitro was the natural development 1003 evolving from the 2D assays, which failed to give a full picture of immu-1004 nogenicity, immunotoxicity, and immune dysregulation. The develop- 1005 ment follows the stringent paradigm of "form following function" in 1006 human lymphoid tissue microarchitecture [215,113]. At this level, we 1007 identified strong development into immunocompetent non-lymphoid 1008 3D organ models, with the primary focus on barrier organs between 1009 the body and the outside world. Research at this level is aiming for 1010 organotypic 3D architecture advancing from static to dynamic systems 1011 with relevant mechanical coupling of the tissue compartments. These 1012 systems are the first to support the complex analyses of simultaneous 1013 molecular events within human-like microarchitecture involving 1014 immune and somatic cell crosstalk at a still rudimentary stage. They 1015 have already added significant value to MoA analysis on an organ level 1016 in vitro within the framework of integrated testing strategies for skin 1017 and lung sensitizers. More importantly, the first systems have been 1018

<sup>&</sup>lt;sup>29</sup> artificial lymph node

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#### 14

Table 2

#### t2.2

2 Relationship between levels of architectural complexity and biological function of human immunity in vitro. The prime dynamic professional lymphoid and immunocompetent nonprofessional models have been chosen as gold standards setting a state-of-the-art threshold. The consequences of a subsequent addition of crucial cell types (+) at each level of architecture for added-value read-outs are proposed.

t2.2	Level of architecture	Gold Standard + additional cell/tissue types	Structure/biology	Corresponding function and read-outs
t2.2	Level A Dynamic 3D single organ equivalents	"Lung-on-a-chip" [196] Epithelial layer spike with microbiome/ pathogen surrogate (E. coli) endothelial layer, moving neutrophils	Epithelial–endothelial alveolar barrier with resident bacteria on luminal epithelial surface and attachment surfaces for neutrophils on activated endothelial surface, all operating at cyclic mechanical stretch applied to the barrier	ECM formation between epithelia and endothelia Neutrophil movement, adhesion and transmigration into epithelia and, finally, bacteria phagocytosis
t2.2		+ macrophages and DCs	+ resident 3D network of macrophages and DCs on top and beneath the basal membrane	+ phagocytoses-mediated presentation and antigen-activated egress of DCs from the lung tissue
t2.2		+ PBMCs	+ circulating suspension of different naïve immune cells supporting homing into or egress from the lung barrier	<ul> <li>highly effective pathogen-screening and increased steady-state response efficiency at the activation site</li> </ul>
t2.2		Human artificial lymph node [206,207] Resident DC-macrophage network combined with continuous recirculation of PBMCs or isolated B and T lymphocytes	Lymphoid follicle-like organoid formation; IgM and antigen-specific plasma cell formation	Continuous screening for antigen-specific match
t2.2		+ human lymphoid stromal and endothelial cells	+ germinal centre formation	+ immunoglobulin class switch toward IgG and antigen-specific antibody affinity maturation
t2.2	Level B Dynamic 3D multi- organ equivalents	Multi-Organ-Chip [204] Microfluidic chip platforms combining several tissue culture compartments by microfluidic channels for interconnected long-term culture	Not yet established	- Artificial interaction of compartmentalised cell cultures
t2.2		+ combining an immunocompetent non-lymphoid organ equivalent (e.g. skin) with a lymph node equivalent	+ interconnecting channel systems	<ul> <li>+ entire skin or lung sensitization pathway; immunogenicity and tolerance formation over mid-term periods (2–4 weeks)</li> </ul>
t2.2		+ arranging at least a gut, lung, skin, liver, spleen, bone-marrow, and kidney equivalent in a common blood capillary circulation	+ biological capillary network surrogate recirculating blood within an natural arrangement of organ equivalents	Organismal homeostasis with the capability of eliciting entire innate and adaptive immune responses over months

identified which try to mimic functional tissues of professional
lymphoid organs at human-like architecture. The following improvements, highlighted in Table 2, might optimise performance on this
level A:

- The composition and architecture of the stromal bed and resident 1023 immune cells of non-lymphoid and lymphoid organ equivalents 1024 should match that of their in vivo counterparts for cell crosstalk, an-1025 tigen transport, and interstitial migration of immune cells [216,217]. 1026 1027 Therefore, either the integration of all immune cell types at relevant ratios or the use of biopsies (e.g. skin and gut), precision-cut organ 1028 slices (e.g. lung, spleen, LNs, tonsils) or tissue aspirates (bone-1029 1030 marrow) are equally valid alternatives. Precision-cut tissue slices have been particularly used in short-term in vitro assays, with the 1031 1032 restriction of rapidly losing functionality due to being excised from their capillary blood supply and microenvironment [218-221]. 1033

The introduction of each immunocompetent 3D tissue equivalent 1034into a fluid flow, as in the HuALN model, to ensure efficient nutrient 1035supply, establishment of local chemokine and oxygen gradients, 1036 1037and to provide transient immune cell recirculation is of the utmost 1038 importance for human-like immune cell crosstalk on this artificial organ level [43,92,222]. This maintains steady-state immune cell 1039 homeostasis in the organs at a high rate of immune cell turnover to 1040 ensure antigen fit of the designated and fast removal of non-binders. 1041 Architectural heterogeneity in the tissue culture compartment and 1042 gradient-based nutrient supply are important requirements to en-1043 sure the strict separation of functional units in each and every 1044 organ model. A prime example is the strikingly strict separation of 1045 B follicles and the T cell areas in LNs, where B and T cells can migrate 1046 vigorously in their respective domains, but rarely trespass into each 1047 other's territory [103]. This is the prerequisite for later transformation 1048 of these areas into GCs upon antigen activation. 1049

Improvement of immunocompetent non-lymphoid 3D organ models
 should further elaborate on the integration of the physiological

microbiome into the respective epithelial areas of the models to 1052 add the constantly maintained immunoprivilege [223] mechanisms. 1053 The separation of the 3D lymphoid and immunocompetent lymphoid 1054 organ equivalents from fluid flow by endothelial cells, integrating 1055 mechanisms of natural transendothelial migration [88, 145, 224, 225] 1056

mechanisms of natural transendothelial migration [88,145,224,225] 1056 into the models would establish a new quality of human biology at 1057 this level A of 3D complexity. 1058

A key read-out parameter for successful emulation of adaptive immunity in 3D LN equivalents would be the de novo transformation of lymphoid follicle-like structures into GC equivalents matching the architecture and, consequently, function of their in vivo counterparts upon repeated antigen stimulation [113].

Integrating datasets derived from the aforementioned level of 1064 organotypic 3D immunity in vitro with various existing methods 1065 for in silico prediction of immunogenic T cell epitopes using protein 1066 database-supported algorithms and T cell epitope mapping tools, such 1067 as Episcreen and EpiMatrix [226–228] could reveal the MoA of immunogenic structures at an organ level. 1069

Unfortunately, this level A again does not fully emulate human 1070 immunity, differing significantly from human biology in two pivotal aspects: the lack of informed crosstalk among all components and organs 1072 in a systemic homeostatic arrangement and the lack of a flexible 1073 immune homeostasis, based on an equilibrium of dying immune cells 1074 being replaced by a new generation. In order to bridge this gap, we 1075 propose a possible approach to step over to the decisive level B, illustrated in Table 2.

# 7. "Human-on-a-chip" — the ultimate systemic level to emulate1078human immunity!?1079

Any systemic in vitro model needs to ultimately emulate human 1080 organ and system functions as closely as possible within their interplay. 1081 Macro-scale bioreactor systems providing interconnected systemic 1082

arrangements of different human cell types were proposed back in the 1083 early-1990s (European Patent EP0584170B1; [229]). Only the appear-1084 ance of MEMS technologies at the advent of this century brought 1085 1086 these historical approaches into recent tangible reality, leading to a few platform developments capable of co-culturing different 1087 crosstalking human cell types or tissue equivalents within a single 1088 microfluidic chip. Recent reviews have elaborated on the opportunities 1089and challenges in the field of "organ-on-a-chip" and "human-on-a-chip" 1090 1091 research [230-233].

Given an ethically acceptable supply of the necessary human tissues, 1092"human-on-a-chip" systems that provide unlimited homeostasis and 1093 organoid repair capability on the basis of biological vascularisation, 1094physiological blood perfusion and the maintenance of organ-specific 1095stem cell niches could be a translational approach [231]. In brief, it is a 1096 prerequisite that human endothelial cell-based blood vasculature 1097 needs to form and interconnect several microvascular beds in a com-1098 mon blood circulation. Each of the microvascular beds needs to be inte-1099 grated into an organ-specific stromal tissue bed, which provides the 1100 respective ECM-based microarchitecture for proper organoid assembly 1101 and immune cell traffic. Orchestrated organ-specific groups of fully 1102functional organoids should maintain their specific immune functions 1103 in concert. The concept is based on the fact that almost all human organs 1104 are assembled from multiple, identical and functional self-reliant struc-1105 tural units, such as the primary follicle and GC in resting or responding 1106 immune organs, respectively, the skin segment, the lung alveolus, the in-1107 testine villus, the liver lobule, or the kidney nephron, each performing 1108 the most prominent functions of the particular organ. The multiplication 1109 1110 of these structures, called organoids, within a given organ is nature's risk management tool to prevent a total loss of functionality during partial 1111 organ damage. The reactivity of organoids to biopharmaceuticals is sup-1112 posed to be representative of the whole organ because of their 1113

distinguished functionality and a high degree of self-reliance within the 1114 respective organ. The natural composition of immune cells within each 1115 organoid is mandatory to ensure human immunity. 1116

Fig. 7 illustrates an example of this "human-on-a-chip" concept devel- 1117 oped to fit the MOC format the area of a standard microscope slide. It is 1118 aiming, at this scale, to operate an immunocompetent "human-on-a- 1119 chip" 100,000-fold smaller than that of its in vivo counterpart. Therefore, 1120 the liver equivalent is designed to host ten human liver lobules. All the 1121 other organ compartments are designed to hold a number of their 1122 organoids that is proportional to the ten liver lobules. The concept in- 1123 cludes the gatekeeper-organs – gut, lung, skin, and liver. Furthermore, 1124 it consists of bone-marrow for haematopoiesis, renewal of leukocytes, 1125 and provision of memory lymphocyte and plasma blast cell niches. The 1126 spleen equivalent within this concept takes over its natural functions, 1127 the professional adaptive immune responses, and removal of older 1128 erythrocytes [234]. A kidney equivalent is included to ensure metabolic 1129 homeostasis of the multi-organ arrangement by removal of toxic meta- 1130 bolic products from the bloodstream. The aforementioned seven organs 1131 would be minimally sufficient to model human immunity at the systemic 1132 organismal level over long time periods at system homeostasis. The 1133 addition of other organ equivalents would add extra biology (e.g. brain, 1134 pancreas, testicles, and adipose tissues). 1135

The biological level proposed here is very challenging. The "human-1136 on-a-chip" concept competes with the rapidly growing effort to 1137 "humanise" laboratory mice, taking into consideration human biology, 1138 economics and ethics. "Humanising" mice is represented by: 1139

 i) The development of transgenic mice expressing specific human HLA 1140 allotypes and lacking endogenous mouse MHC class II [235] 1141 targeting tolerance induction mechanisms and associated with 1142 significant technical challenges [22]. 1143



Fig. 7. "Human-on-a-chip" at 0.00001 scale. Here is a possible design for maintaining multiple human organ equivalents including spleen, bone-marrow and pathogen entrance sites, such as skin, lung and small intestine (middle top view) in a common blood vasculature on a chip the area of a standard microscope slide. The microfluidic device (right side) consists of sensors, organ equivalents, antra for nutrition, bile excretion, urine and faeces removal, and actuators providing mechanical cues for heartbeat, peristaltic intestinal movement, lung air-flow, bone compression, arteriolar constriction, and urine and bile removal. Access points for substance exposure through the different routes are highlighted. Finally, organ biopsies or the use of pluripotent stem cell technologies as tissue sources are accentuated on the left (reproduced from Reference [29] with permission from The Royal Society of Chemistry).

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ii) Xenograft transplantation models in immunodeficient mice in which
human immune system compounds are transplanted by engraftment
of human CD34 stem cells [236,237] or by engraftment of human
lymphoid tissues engineered in vitro [238]. Each mouse has to be
engrafted on an individual basis and considerable variability in immune responses to factors that stimulate potent responses in humans
appear [22].

1151The logical alternative, the "human-on-a-chip" approach, demands the establishment of complex 3D micro-tissues consisting of the right 11521153composition of different cell types. In order to achieve this aim, either 1154building the 3D organ by assembling the exact structure using its indi-1155vidual parts applying the latest tissue engineering tools, such as organ 1156printing [239], or self-assembly and remodelling can be applied. The latter follows the natural routes of tissue formation, repair or regeneration 1157 [240–242] by providing the necessary microenvironment and stem cell 1158 niches. No matter which way one proceeds, microfluidic platform tech-1159 nologies are the basis for success. They enable long-term steady-state 1160 co-culture of different organ equivalents in common media circulation. 1161 Reproducible maintenance of a human liver and skin equivalent co-1162culture over four weeks has already been reported for the MOC platform 1163 [204]. 1164

1165 Another important success factor would be the establishment of a 1166 human vasculature, a prerequisite to establish endothelial capillary beds within the organ equivalents and full endothelial coverage of 1167 fluid transport channels to allow whole blood circulation in the systems 1168 without bleeding and clotting. Significant progress has been made in the 1169 1170 past to establish human vasculature in vitro by pre-vascularization techniques [243,244], re-seeding of decellularized scaffolds with 1171 human endothelial cells [245-247], or tissue printing procedures in-1172volving the establishment of capillary networks [248,249]. A complete 1173 1174 coverage of the entire surface of polymeric microchannels of the MOC system with human endothelial cells operating with pulsatile fluid 11751176 flow at physiological conditions has been reported recently [250]. Furthermore, any MOC concept demands the provision of actuators 1177 and sensors that match the functions of their in vivo counterparts. 1178 The actuators should couple a broad range of mechanical stresses differ-1179 1180 entially into relevant organs at natural degrees. Sensors with exceptionally high sensitivity need to be developed to detect the main parameters 1181 of human organismal homeostasis, such as organ viability, tissue 1182 temperature, pH, daily fluid balance, intracapillary pressure, blood 1183 flow volume, oxygen and nutrient consumption, fluid adsorption and 1184 intestinal juice secretion, albumin, immunoglobulin and bile synthesis, 1185 urea excretion, ion balances, and osmolality in the minute sample vol-1186 umes derived from such a miniaturised microfluidic device. Miniature 1187 1188 organ sizes and contact-free access to the transparent bottom of the 1189 chips allow the use of strong in-process research tools, such as two-photon microscopy for immune tissue imaging, fluorescence ratio 1190 imaging for local interstitial pH measurement [251], phosphorescence 1191 quenching microscopy for interstitial pO<sub>2</sub>, and infrared spectroscopy 1192to detect physiological stresses [252]. System biology approaches for 1193 1194 the identification of physiological performance of the immune system 1195at rest and while activated could be applied on samples daily. State-ofthe-art and possible avenues to improve the technical layouts of single 1196and multi organ-on-a-chip systems have been recently discussed and 11971198 reviewed in a workshop [233]. A few years ago, such a target seemed 1199 to be pure science fiction, but the unprecedented joint development programme between the NIH, DARPA and the FDA (http://www.ncats. 1200 nih.gov/research/reengineering/tissue-chip/tissue-chip.html), initiated 1201 in 2012, to develop "human-on-a-chip" systems combining human 1202 systems/organs on a single chip is a unique hallmark, indicating the 1203translational impact of such concepts on the drug development and 1204immunogenicity testing pipeline. The forecasted complexity of such 1205systems could offer a platform to fully emulate innate and adaptive 1206 human immunity in vitro within a single system and process. Despite 12071208 the technical hurdles, the major biological question remains: Would the miniaturisation of lymphoid organs associated with the limitation 1209 of lymphocyte numbers checking for antigen-match limit the chance 1210 of such a match? 1211

Looking beyond immunogenicity, "human-on-a-chip" systems 1212 might be able to model immunotoxicity on all levels of lymphoid tissues 1213 in the body and immune malfunction in such pandemic disorders such 1214 as allergies, resulting, for example, in asthma or atopic eczema, drug-1215 induced liver injury and autoimmune disorders, resulting, for example, 1216 in rheumatoid arthritis. Finally, it remains speculative to expect such a 1217 "homunculus" (small man) on-a-chip to develop consciousness. One 1218 must always keep in mind that the term "human" in "human-on-a-1219 chip" has the meaning of a model: an artificial copy, effigy or image. 1220 The uniqueness of a human being is inviolable. 1221

8. Concluding remarks

Systems emulating human immunity in vitro are at a very early stage 1223 of development. The recent remarkable efforts and achievements in 3D 1224 modelling of immunocompetent human gut, lung and skin reviewed 1225 here will further facilitate the integration of the resident elements of in- 1226 nate immunity into these models at organotypic architecture in the near 1227 future, providing added value to sensitizing hazard testing of new bio- 1228 logical and chemical structures exposed to humans. In contrast to ani- 1229 mal models these human immunity models in vitro provide human 1230 cell and tissue substrates. This ensures human relevant receptor interac- 1231 tions and signalling pathways. Furthermore immune cell densities and 1232 functions relevant to human physiology can already be established by 1233 proper architectural tissue engineering of these models. But these 1234 models currently are unable to provide solutions for other well- 1235 known differences between laboratory animal and human physiology 1236 relevant to immune responses, such as the microbiome pattern, pH, 1237 and density of M-cells in the gut or the hair follicle density and their im- 1238 mune privilege niches in skin. 1239

The few existing dynamic tissue culture platforms of professional 1240 lymphoid 3D organ equivalents – LN and bone-marrow – presented 1241 here provide an excellent first basis for further improvements of lymphoid tissue architecture, cellular composition, and the antigen exposure process over timeframes relevant to the development of a 1244 sustainable adaptive immune response. On the basis of the HuALN 1245 model, robust immune responses leading to the maturation of primary 1246 follicles into GCs, resulting in high affinity antibody responses and specific cytotoxic T cell clones are well within reach. Bone-marrow model 1248 improvements could support the investigation of antibody secretion in plasma cells and the maintenance of the B and T cell vaccination memory, all in their respective bone-marrow niches. 1251

Unfortunately, none of the existing immunocompetent non-1252 lymphoid or professional lymphoid 3D in vitro systems reviewed here provides a translational alternative to recapitulate the entire adverse immunogenicity pathway in man; neither do the human in silico immunity models and the "humanisation" of the immune system of laboratory mice. The majority of existing approaches to emulate human intro followed a trial and error strategy rather than rational design principles. Such principles have to be introduced in the future.

We hypothesise that a decisive level of human immunity in vitro can1260be finally reached by the "human-on-a-chip" developments outlined. If1261the latter are successful, the possibility of significantly extending the1262predictiveness of such systems far beyond human immunogenicity is-1263sues will be possible, covering allergies, autoimmunity, and immunity-1264based malignant malformations.1265

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1222

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